

PNPM GENERASI

Quarterly Progress Report: January to March 2016

Summary Information	
Status	Active
Activity Number	RETF: P132585 and P147658 (TF014769) BETF: P111966 (TF092194)
Task Team Leader(s)	Robert Wrobel (rwrobel@worldbank.org)
Executing Agency(ies)	The Ministry of Villages, Disadvantaged Areas and Transmigration ¹ and the World Bank
Start date to Closing Date	BETF: May 26, 2008 to December 31, 2017 (115 months) RETF: TF097410: August 10, 2010 to December 31, 2014 (52.5 months); TF014769: June 24, 2013 to December 31, 2017 (54 months)
Geographic Coverage	5,400 villages in 499 poor sub-districts in eleven provinces (East Nusa Tenggara [NTT], West Nusa Tenggara [NTB], West Java, East Java, North Sulawesi, Gorontalo, West Sulawesi, Maluku, West Kalimantan, Central Kalimantan, and South Sumatra)
Approved Budget	US\$194,679,233
Disbursements during the Quarter	US\$99,390
Cumulative Disbursements	US\$145,969,667

Progress

Project Overview

The development objective of PNPM Generasi is to empower local communities in poor, rural sub-districts in project provinces to increase the utilization of health and education services. The program aims to facilitate the achievement of three Millennium Development Goals in which Indonesia's performance is lagging: (i) the achievement of universal basic education; (ii) a reduction in child mortality; and (iii) improvements in maternal health. Through the existing PNPM Rural platform and utilizing the community-driven development (CDD) approach, PNPM Generasi uses community planning and incentivized sub-district grants to improve outcomes in terms of 12 priority health and education indicators. Communities, with assistance from PNPM facilitators, work with health and education service providers to identify locally appropriate ways to improve access to, and increase the use of, health and education services. Sub-district grants are used to address either small-scale supply or demand constraints, depending on where the need is the greatest.

¹ Starting in 2015, the Government decided to re-assign the key functions of village development and community empowerment from the Ministry of Home Affairs to the new Ministry of Villages, Disadvantaged Areas, and Transmigration.

Overall progress towards development objective

Progress toward the project's development objective continues to be satisfactory. In addition to using the project Management Information System (MIS) as a source of data for tracking the key performance indicators (KPIs), since 2013 onwards, the Directorate General of Community and Village Empowerment (PMD) has been utilizing data from its Performance Monitoring Survey (PMS) as well. The PMS uses a small-cluster sampling methodology to report on progress towards achieving KPIs. Data collection for the second-round PMS 2014 was completed in January 2015.

Findings from the second round of the PMS and routine MIS monitoring revealed that through December 2014, five out of 12 PNPM Generasi indicators at the PDO level were achieved, while six out of nine indicators at the intermediate-outcome (component) level were achieved. For example, 89 percent of childbirth deliveries were assisted by trained professionals (against the 2014 target of 80%). 93 percent of Junior Secondary School (JSS)-age children were enrolled in JSS (against the target of 70%). 81 percent of children under three years old were weighed monthly, meeting the target. However, the project did not achieve the 2014 target on prenatal care visits, in that only 68 percent of pregnant women made at least four visits during the course of pregnancy (against the target of 75%). The project also fell short in 2014 in terms of involving 51 percent of community members identified to be the poorest in project-planning and decision-making meetings (against the target of 60%). The involvement of women was also underachieved, being rated at 69 percent (against the target of 75%).

In addition, two PDO-level outcome indicators underperformed (i.e. participation in monthly pregnancy and nutrition classes for pregnant women and male caregivers). These indicators were introduced in early 2014, and the lags in the achievement of these targets have been due to the delays of implementation of the Ministry of Health's (MoH's) supply-side activities.

While the 2016 Generasi program is in progress, there were significant progress achieved on project implementation up to end of year 2015: community block grants have been disbursed at 99.57 percent, block grants for community training (*DOK Pelatihan Masyarakat*) have been disbursed at 99.45percent, whereas block grants for planning were entirely been utilized (100%) in August 2015.

Key developments during the quarter:

- The Generasi Annual Work Plan (AWP) for 2016 has been approved for total US\$ 45,943,712.
- Manual for Generasi technical operations (PTO) 2016 has been revised and NOL has been issued. The significant changes consist of: 1) a fundamental principle of program integration with the implementation of Village Law in Generasi locations; 2) technical guidance on the implementation of Early Child Education and Development (ECED) pilot program in 25 districts; 3) Revision of procurement methods; and 4) Social and environmental safeguards guidance. The PTO also rephrased the health indicators that will only emphasize the nutrition consultation activities instead of pregnancy counseling. The revised PTO also outlines the possibility of using a maximum of 10% of the community block grant for ECED-related activities in all Generasi locations.
- TF097410 was closed on March 2016 with the remaining balance of USD 6,316,468. This amount has been transfer to additional financing to TF014769.
- The Government has launched the Technical Guidance (Juknis) for *Dekonsentrasi* by March 2016 while the Juknis for UB (*Urusan Bersama*) is still on process. This is due to the delay of budget allocation for Generasi 2016. The latter resulted in the delayed disbursement of the 2016 block grant.
- Preparation of restructuring TF014769 is on-going that will consist of the revision of grant categories and additional financing for 2016.
- Call of funds Q1-Q2 for TF014769 has been requested to MCA-I for the amount of USD7,737,713.
- National Coordination workshop has been conducted in Sukabumi, West Java on March 2016.

Critical challenges

- A number of consultants and facilitators have resigned due to the delays in backdated salary payments and Generasi's pending activities. The MOV is in the process of recruiting consultants and facilitators for these vacant positions.
- This year, there has been a significant reduction of community block grants in NTB . This is a result of the Generasi program's redesign with regards to program integration with Village Law activities. The program will only add the operational funds for community capacity building to this province. This budget reduction will, in some extent, impacts on the delivery of Generasi program activities in 2016. Need re-fresher training for facilitators in Q2 in order to address the changing roles of the facilitators
- The implication of hand-over ASF2 to ASF3 which should be conducted by May 2016 to avoid the empty positions.

Activities planned during the next quarter

- Implementation support mission field work will be conducted on April 2016 with focus on program institutional issues, program management and operational, assess the progress of strengthening supply side (MOH to trained Posyandu Cadre and Midwives) support to Generasi program as well as safeguards issues.
- MoV and the Ministry of Education and Culture (MoEC) will formalize the ECED pilot by launching the program on April 2016 in order to enable the ECED frontline initiative to be implemented across Generasi 25 pilot districts.

Key Performance Indicators

Indicator	Baseline (2012)	Cumulative Target (2017)	Cumulative Achievements (at end of December 2015)	VAR	Target for 2016	Achieved in 2016	VAR	Remarks
PDO#1: Improved access to and utilization of health services in the targeted areas								
% of pregnant women receiving four prenatal care visits	70	>80	n/a	n/a	>80	n/a	n/a	Some of the PDO-level indicator data supposed to be collected through impact evaluation study. However, this impact study is delayed, so that data cannot be obtained yet.
% of deliveries assisted by trained professionals	75	>85	n/a	n/a	>85	n/a	n/a	
% of children under three weighed monthly	75	>85	n/a	n/a	>80	n/a	n/a	
% of pregnant women participating in pregnancy and nutrition class monthly ²	0	>60	n/a	n/a	60	n/a	n/a	
% of male caregivers participating in pregnancy and nutrition class monthly	0	40	n/a	n/a	30	n/a	n/a	
% of parents 0-2 year olds participating in children parenting	0	>60	n/a	n/a	>60	n/a	n/a	
PDO#2: Improved access to and utilization of education services in the targeted areas								
Junior secondary enrollment rate	65	>85	n/a	n/a	>85	n/a	n/a	
PDO#3: % community members involved in planning and decision-making meetings								

Key Performance Indicators								
Indicator	Baseline (2012)	Cumulative Target (2017)	Cumulative Achievements (at end of December 2015)	VAR	Target for 2016	Achieved in 2016	VAR	Remarks
Women	65	>75	69	-6	75	61	-14	Source: MIS data
Poorest community members	60	>60	47	-13	>60	46	-14	Source: MIS data
PDO#4: Total number of beneficiaries								
499 <i>kecamatan</i> (old and new locations)	5.4 million	4.9 million ³	2,628,149	-2.2 million	4.9 million	n/a	n/a	Source: MIS data During FY 2015, the number of beneficiaries is 4,965 out of 5,700 (based on 87% of village uploaded data). Beneficiaries data for FY 2016 will be obtained in Q2 or Q3 because, at present, project implementation is still in socialization stage..
130 <i>kecamatan</i> (new location)	0	1.3 million	139,706	-1.1 million	1.3 million	n/a	n/a	
% of which female	50	55	57	+2	55	n/a	n/a	
Component 1: Generasi <i>Kecamatan</i> Grants								
IOI (Intermediate Outcome Indicator)#1: % of sub-districts with 100% disbursement rate at the end of program cycle	85	90	99.40	+19.40	>90	n/a	n/a	Operational Guidance (JUKNIS) for UB (<i>Urusan Bersama</i>) hasn't been released by government so that the community
IOI#2: % of previous	25	50	46	-4	50	n/a	n/a	

³ This new target reflects a modification of the end of year target of project beneficiaries, from US\$6.7 to US\$4.9 million, and is approved in the Grant Agreement addendum. The revised estimate uses actual population data from the 2010 census figures, which reflects the relatively low population densities of the three "expansion" provinces—South Sumatra, West Kalimantan and Central Kalimantan.

Key Performance Indicators

Indicator	Baseline (2012)	Cumulative Target (2017)	Cumulative Achievements (at end of December 2015)	VAR	Target for 2016	Achieved in 2016	VAR	Remarks
non-users as beneficiaries of sub-projects								Source: MIS Data
IOI#3: % of sub-districts in which service providers attended MAD	60	80	99.40	+29.40	80	n/a	n/a	At moment, preparation for MAD workshop (Rapat TPMD 1) is underway.
Component 2: Facilitation Support								
IOI#4: % of district government which conducted supervision to communities as planned	45	50	n/a	n/a	50	n/a	n/a	Data on supervision by district governments is not yet available
IOI#5: % sub-districts conducted planning and coordination workshop with district-level health and education office to discuss Generasi activities	40	80	99.40	+19.40	80	n/a	n/a	Planning and coordination workshop supposed to be carried out in Q2/Q3.
IOI#6: % of district-level MIS data completed (verified, entered and submitted)	80	85	87	+2	85	25	-60	Source: MIS data
IOI#7: % of sub-districts in which 50% of villages	60	>70	16	-49	70	1	-69	Source: MIS data

Key Performance Indicators

Indicator	Baseline (2012)	Cumulative Target (2017)	Cumulative Achievements (at end of December 2015)	VAR	Target for 2016	Achieved in 2016	VAR	Remarks
conducted cross-village audits as planned								
Component 3: Implementation Support and Technical Assistance								
IOI#8: % of planned facilitators at all levels were recruited and trained according to the agreed training plan	80	90	96	+6	90	93	+3	For Q1 in the FY 2016, only recruitment of facilitators being conducted. Facilitators' pre-service and refresher training will be held in Q2/Q3.
IOI#9: % of sub-districts audited each year and for which the results are published.	20	20	n/a	n/a	20	n/a	n/a	Source: Project report.